

# POWER AUTOMORPHISMS OF FINITE $p$ -GROUPS

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## ABSTRACT

For a finite group  $G$  let  $A(G)$  denote the group of power automorphisms, i.e. automorphisms normalizing every subgroup of  $G$ . If  $G$  is a  $p$ -group of class at most  $p$ , the structure of  $A(G)$  is shown to be rather restricted, generalizing a result of Cooper ([2]). The existence of nontrivial power automorphisms, however, seems to impose restrictions on the  $p$ -group  $G$  itself. It is proved that the nilpotence class of a metabelian  $p$ -group of exponent  $p^2$  possessing a nontrivial power automorphism is bounded by a function of  $p$ . The "nicer" the automorphism — the lower the bound for the class. Therefore a "type" for power automorphisms is introduced. Several examples of  $p$ -groups having large power automorphism groups are given.

In the following, groups will always be finite. We denote by  $\{K_i(G)\}_{i \geq 1}$  the descending central series of the group  $G$ , by  $c(G)$  the nilpotence class of  $G$ , and we define

$$\Omega_i(G) := \langle x \in G \mid x^{p^i} = 1 \rangle, \quad \mathbf{U}_i(G) := \langle x \in G \mid x = y^{p^i} \text{ for some } y \in G \rangle.$$

For abbreviation let us put

$$v_{i,j}(x, y) := [y, \underbrace{x, \dots, x}_i, \underbrace{y, \dots, y}_j] \quad \text{and} \quad v_i(x, y) := v_{i,0}(x, y).$$

A special part is played by commutators of length  $p$ , so define  $s_i(x, y) := v_{i,p-i-1}(x, y)$ . If  $G$  is a metabelian group, we shall always use identities like (1) to (7) of [3], page 364. The rest of the notation is taken from [8]. Of course,  $p$  will always denote a prime number.

## 1. Definition and well-known facts

Let  $G$  be a group; an automorphism  $\alpha$  of  $G$  is called a power automorphism

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of  $G$ , if it maps every subgroup of  $G$  onto itself. Power automorphisms were studied by Cooper in [2]; we restate those results of his that we use frequently:

(1.1) LEMMA. *The set  $A(G)$  of power automorphisms of  $G$  is a normal abelian subgroup of  $\text{Aut}(G)$  ([2], theorem 2.1.1, p. 337).*

(1.2) THEOREM. *Let  $\alpha \in A(G)$ . Then  $[\alpha, \beta] = 1$  for every  $\beta \in \text{Inn}(G)$  ([2], theorem 2.2.1, p. 339).*

(1.3) COROLLARY. *Let  $\alpha \in A(G)$ . Then*

- (a) *the map  $x \rightarrow [x, \alpha]$  is a homomorphism from  $G$  into  $Z(G)$ ,*
- (b)  *$G'$  is fixed elementwise by  $\alpha$  ([2], corollary 2.2.2, p. 339).*

Let  $G$  from now on always denote a  $p$ -group. We shall define what we call the type of a power automorphism of  $G$ , so let  $\alpha \in A(G)$ . Then  $\alpha$  maps every cyclic subgroup of  $G$  onto itself, so for every  $x \in G$  there is a positive integer  $r_x$  such that  $x^\alpha = (x)^{r_x}$ . These exponents  $r_x$  of  $\alpha$  will in general depend on the element  $x \in G$ . If there is a set  $\Sigma_\alpha$  of  $n$  positive integers such that for every  $x \in G$  there is an  $r \in \Sigma_\alpha$  satisfying  $x^\alpha = x^r$ , but no set of  $n - 1$  positive integers has this property, then  $\alpha$  is said to be of type  $n$ . It is clear that such minimal sets  $\Sigma_\alpha$  do exist, but even if we restrict ourselves to sets  $\Sigma_\alpha \subseteq \{1, 2, \dots, \exp(G) - 1\}$ , there are more than one minimal  $\Sigma_\alpha$ . Power automorphisms of type 1 are called universal; a power automorphism  $\alpha$  of type 2 for which we can choose  $\Sigma_\alpha = \{1, r\}$  is called quasi-universal. It was shown in [11] how to assign to every  $\alpha \in A(G)$  a unique set  $\Sigma_\alpha$ , having the following properties: (i)  $\Sigma_\alpha \subseteq \{1, 2, \dots, \exp(G) - 1\}$ , (ii)  $|\Sigma_\alpha| = \text{type of } \alpha$ , (iii)  $\Sigma_\alpha = \{1, r\}$ , if  $\alpha$  is quasi-universal.

So in the following let  $\Sigma_\alpha$  always have these three properties. Power automorphisms of an abelian group  $G$  are universal ([2], theorem 3.4.1, p. 343), and the restriction map gives an isomorphism from  $A(G)$  onto  $\text{Aut}(\langle x \rangle)$  for every cyclic subgroup  $\langle x \rangle$  of  $G$  which is of maximal order.

(1.4) LEMMA. *Let  $G$  be a non-abelian  $p$ -group,  $\alpha \in A(G)$ . Then  $r \equiv 1 \pmod p$  for every  $r \in \Sigma_\alpha$ ,  $\alpha$  stabilizes the series  $1 \subseteq \Omega_1(G) \subseteq \Omega_2(G) \subseteq \dots \subseteq G$ , and  $A(G)$  is a  $p$ -group ([7], Hilfssatz 5, p. 166).*

(1.5) THEOREM. *Let  $G$  be a regular  $p$ -group, then  $A(G)$  consists of universal power automorphisms only. Therefore via the restriction homomorphism  $A(G)$  is embeddable into  $\text{Aut}(\langle x \rangle)$  for every cyclic subgroup  $\langle x \rangle$  of  $G$ , that is of maximal order ([2], theorem 5.3.1, p. 349).*

REMARK. Since every  $p$ -group of class less than or equal to  $p - 1$  is a regular

$p$ -group, the simplest class of  $p$ -groups not covered by (1.5) is the one of  $p$ -groups of class  $p$ .

**2.  $p$ -groups of class  $p$**

(2.1) THEOREM. *Let  $G$  be a  $p$ -group of class  $p$ . Then  $A(G)$  is elementary abelian or can be embedded via the restriction homomorphism into  $\text{Aut}(\langle x \rangle)$  for some cyclic subgroup  $\langle x \rangle$  of  $G$ , that is of maximal order.*

*For  $p = 2$ , the rank of  $A(G)$  is always at most 2.*

PROOF. Let  $G$  be of exponent  $p^n$ , and let  $G'$  be of exponent  $p^k$ , then  $1 \leq k \leq n$ , since  $G$  is non-abelian. We have  $\Omega_k(G) \subseteq C_G(A(G))$ ; for let  $x \in G$  be of order  $p^k$ , then  $\langle x, G' \rangle$  is a regular  $p$ -group, as its class is at most  $p - 1$ . Thus  $\exp(\langle x, G' \rangle) = p^k$ , and so by (1.5) and (1.3b)  $x$  is centralised by every power automorphism of  $G$ .

Now if  $k = n$ , then  $G = \Omega_k(G) \subseteq C_G(A(G))$ ; so  $A(G) = 1$ . If  $k = n - 1$ , then  $[G, A(G)] \subseteq \Omega_k(G) \subseteq C_G(A(G))$  by (1.4) and so for  $x \in G, \alpha \in A(G)$  we have by (1.3a)

$$[x^p, \alpha] = [x, \alpha]^p = [x, \alpha^p] = 1$$

whence  $A(G)$  is elementary abelian. For  $p = 2$ , we can make use of one of Cooper's results ([2], theorem 6.3.1, p. 351) to conclude that the rank of  $A(G)$  is at most two, since by  $[G, A(G), A(G)] = 1$  we know that  $A(G)$  and  $D(G)^+$  (see [2], a remark on page 349) are isomorphic.

So let finally  $k \leq n - 2$ , and assume that  $A(G) \neq 1$ . Then direct application of the Hall-Petrescu Formula ([8], Satz III.9.4, p. 317) gives that  $G$  is  $p^{n-1}$ -abelian (see [12]), and  $\Omega_{n-1}(G) = \{g \in G \mid g^{p^{n-1}} = 1\} \not\subseteq G$ . Thus  $G$  can be generated by elements of order  $p^n$ , and we can find an element  $x \in G$  of order  $p^n$ , such that  $[x, \alpha] \neq 1$  for at least one  $\alpha \in A(G)$ .

Assume, by way of contradiction, that there is a nontrivial element  $\beta \in A(G)$  with  $[x, \beta] = 1$ . Then take  $y \in G \setminus C_G(\beta)$  of minimal order  $p^s$ ; obviously  $s > k$  holds, and we first assume  $k < s < n$ . Since  $xy \notin C_G(\beta)$ , we have  $1 \neq [xy, \beta] = [y, \beta] \in \langle xy \rangle \cap \langle y \rangle$ , and since  $G$  is  $p^{n-1}$ -abelian, we have  $1 \neq x^{p^{n-1}} \in \langle xy \rangle$ . As  $G$  is a  $p$ -group, we can conclude that  $\langle x \rangle \cap \langle y \rangle \neq 1$ , and so there is an integer  $j$ , such that  $x^{jp^{n-1}} = y^{-p^{s-1}}$ . Using the Hall-Petrescu Formula we get

$$(x^{jp^{n-1}}y)^{p^{s-1}} = x^{jp^{n-1}}y^{p^{s-1}} \prod_{i=2}^{p^{s-1}} d_i \binom{P^{s-1}}{i}$$

where  $d_i \in K_i(\langle x^{p^{n-1}}, y \rangle)$ ; I don't care about the order of the product for a

moment. Since the class of  $G$  is  $p$ , since  $p^{s-1}$  divides  $(p_i^{s-1})$  for  $1 \leq i \leq p-1$  and  $p^{s-2}$  divides  $(p_i^{s-1})$  and since  $k \leq s-1$ , we can write this equation

$$(x^{ip^{n-s}}y)^{p^{s-1}} = \tilde{d}_p^{p^{s-2}}, \quad \tilde{d}_p \in K_p(\langle x^{p^{n-s}}, y \rangle).$$

But the element  $\tilde{d}_p$  lies in the center of  $G$ , and so it can be expanded into a product of  $p$ -fold commutators with entries  $x^{ip^{n-s}}$  and  $y$ , of course always one entry (at least) equal to  $x^{ip^{n-s}}$ , and since  $n > s$  the element  $\tilde{d}_p$  is equal to a  $p$ th power of an element of the commutator subgroup of  $G$ , so

$$(x^{ip^{n-s}}y)^{p^{s-1}} = 1.$$

But  $(x^{ip^{n-s}}y) \in G \setminus C_G(\beta)$ , contradicting the minimality of  $s$ . So assume finally that  $n = s$ . Then there is an integer  $j$  such that  $x^{ip^{n-1}} = y^{-p^{n-1}}$  since  $\langle xy \rangle \cap \langle y \rangle \neq 1$ , and  $(x^jy)^{p^{n-1}} = x^{ip^{n-1}}y^{p^{n-1}} = 1$  since  $G$  is  $p^{n-1}$ -abelian. But again  $x^jy \in G \setminus C_G(\beta)$ , contradicting the minimality of  $s$ .

In the following we shall investigate whether (2.1) can be generalised in one direction or the other. First, we give a family of groups  $H_{n,p}$  for which  $A(H_{n,p})$  is neither elementary nor can be embedded by restriction into  $\text{Aut}(\langle x \rangle)$  for any element  $x \in H_{n,p}$ .

(2.2) EXAMPLE. Let  $A_{n,p}$  be an abelian  $p$ -group of rank  $p-1$  and type  $(n+1, n, n, \dots, n)$ , that is  $A_{n,p} = \langle a_1 \rangle \times \langle a_2 \rangle \times \dots \times \langle a_{p-1} \rangle$  where  $a_1$  is of order  $p^{n+1}$  and  $a_i$  is of order  $p^n$ , if  $2 \leq i \leq p-1$ . Then an endomorphism  $T$  of  $A_{n,p}$  is defined by:

$$a_i^T := a_i a_{i+1} \quad \text{for } 1 \leq i \leq p-2, \quad a_1^{1+T+T^2+\dots+T^{p-1}} = 1.$$

Obviously  $T$  is an automorphism of  $A_{n,p}$  of order  $p$ , which has the property that for any integer  $i \not\equiv 0 \pmod p$  the endomorphism  $1 + T^i + (T^i)^2 + \dots + (T^i)^{p-1}$  is identically zero on  $A_{n,p}$ . The extension  $G_{n,p}$  of  $A_{n,p}$  by  $T$ , where  $T^p = a_1^p$ , is a  $p$ -group of maximal class, and  $A(G_{n,p})$  is elementary abelian of rank 2, two linearly independent elements  $\alpha, \beta \in A(G_{n,p})$  given by  $a_1^\alpha = a_1$ ,  $T^\alpha = T^{1+p}$ ;  $a_1^\beta = a_1^{1+p^n}$ ,  $T^\beta = T$ .

It should be remarked that  $G_{n,2}$  is a generalised quaternion group of order  $2^{n+2}$ , and  $G_{1,p}$  is Blackburn's example of an irregular  $p$ -group of class  $p$ , given in ([8], III.10.15).

Let  $k, n$  always denote positive integers,  $\mathbb{Z}[X]$  the integer polynomial ring, and  $n_k(X) := \sum_{i=0}^{k-1} X^i \in \mathbb{Z}[X]$ . Then for any  $1 \leq r < k$  we have  $n_k(X) = n_r(X)n_{k-r}(X^{p^r})$ . We often consider the ring  $\mathbb{Z}[T]$  of endomorphisms of the

abelian group  $B$ ,  $T \in \text{Aut}(B)$ , which is commutative, and obviously, if  $o(T) = p^k$ ,  $j \not\equiv 0 \pmod p$ , then  $n_k(T^j) = n_k(T)$ .

**PROPOSITION.** *Let  $B_{n,p,k}$  be an abelian  $p$ -group of rank  $(p-1)p^{k-1}$  and type  $(n+1, n, n, \dots, n)$ . Then  $B_{n,p,k}$  has an automorphism  $T_k$  of order  $p^k$ , such that the endomorphism  $n_k(T^j)$  is zero on  $B_{n,p,k}$  for every  $j \not\equiv 0 \pmod p$ .*

**PROOF.** Let  $B_{n,p,k} = \langle b_1 \rangle \times \langle b_2 \rangle \times \dots \times \langle b_{(p-1)p^{k-1}} \rangle$ , where  $o(b_1) = p^{n+1}$  and  $o(b_i) = p^n$  for  $2 \leq i \leq (p-1)p^{k-1}$ . We shall prove by induction over  $k$  the following, stronger fact:  $B_{n,p,k}$  has an automorphism  $T_k$  of order  $p^k$ , satisfying (i)  $B_{n,p,k} = \langle b_1^{Z(T_k)} \rangle$ , (ii)  $b_1^{n_k(T_k^j)} = 1$  for  $j \not\equiv 0 \pmod p$ . The case  $k = 1$  was described above as  $(A_{n,p}, T)$ . To prove the induction, we embed  $B_{n,p,k}$  into

$$B_{n,p,k+1} = \langle b_{1,0} \rangle \times \langle b_{1,1} \rangle \times \dots \times \langle b_{1,p-1} \rangle \times \langle b_{2,0} \rangle \times \dots \times \langle b_{(p-1)p^{k-1}, p-1} \rangle$$

by identifying it with the subgroup  $\tilde{B}_{n,p,k} = \langle b_{i,0} \mid 1 \leq i \leq (p-1)p^{k-1} \rangle$  of  $B_{n,p,k+1}$ . ( $o(b_{1,0}) = p^{n+1}$ , and  $o(b_{i,j}) = p^n$  for  $(i, j) \neq (1, 0)$ .) Then we can carry the action of  $T_k$  on  $B_{n,p,k}$  over to  $\tilde{B}_{n,p,k}$  and define  $T_{k+1}$  on  $B_{n,p,k+1}$  by

$$\begin{aligned} b_{i,j}^{T_{k+1}} &:= b_{i,j} b_{i,j+1} && \text{if } 1 \leq i \leq (p-1)p^{k-1}, \quad 0 \leq j < p-1, \\ b_{i,0}^{T_{k+1}^p} &:= b_{i,0}^{T_k} && \text{if } 1 \leq i \leq (p-1)p^{k-1}. \end{aligned}$$

This defines an endomorphism of  $B_{n,p,k+1}$ , since the images of the generators  $b_{i,j}$  have suitable orders, and obviously using the induction hypothesis, we get  $B_{n,p,k+1} = \langle b_{1,0}^{Z(T_{k+1})} \rangle$ . But then, for  $b_{1,0}^{f(T_{k+1})} \in \ker(T_{k+1})$  we have

$$1 = b_{1,0}^{f(T_{k+1})T_{k+1}} = b_{1,0}^{f(T_{k+1})T_{k+1}^p} = b_{1,0}^{T_{k+1}^{pf(T_{k+1})}},$$

since  $Z[T_{k+1}]$  is commutative. On the subgroup  $\tilde{B}_{n,p,k}$  however,  $T_{k+1}^p$  and  $T_k$  coincide, and therefore some power  $T_{k+1}^{ps}$  inverts  $T_{k+1}^p$  on  $\tilde{B}_{n,p,k}$ . Thus

$$1 = b_{1,0}^{(T_{k+1})^{ps} f(T_{k+1}) (T_{k+1})^{ps}} = b_{1,0}^{(T_{k+1})^{ps} (T_{k+1})^{ps} f(T_{k+1})} = b_{1,0}^{f(T_{k+1})},$$

and  $T_{k+1}$  is an automorphism of  $B_{n,p,k+1}$ . Clearly the order of  $T_{k+1}$  is  $p^{k+1}$ .

Now let  $j$  be a positive integer with  $j \not\equiv 0 \pmod p^{k+1}$ , let  $j = tp^r$ , where  $(t, p) = 1$ . Then if  $r = 0$ , we get  $n_{k+1}(T_{k+1}^j) = n_{k+1}(T_{k+1})$ , whence

$$1 = b_{1,0}^{n_{k+1}(T_{k+1}^j)} = b_{1,0}^{n_{k+1}(T_{k+1})} = b_{1,0}^{n_k(T_{k+1}^p)^{n_1(T_{k+1})}}$$

since  $n_k(T_{k+1}^p) = n_k(T_k)$  on  $\tilde{B}_{n,p,k}$ , and we can apply the induction hypothesis.

If  $r \neq 0$ , then  $1 \leq r \leq k$ , since  $j \not\equiv 0 \pmod p^{k+1}$ , and we have

$$n_{k+1}(T_{k+1}^j) = n_{k+1}(T_{k+1}^{t^r}) = n_k((T_{k+1}^p)^{t^r}) n_1(T_{k+1}^{t^r}),$$

and again the induction hypothesis implies

$$1 = b_{1,0}^{n_k((T_{k+1})^{p^{r-1}})^{n_1(T_{k+1}^{p^{r+k}})}} = b_{1,0}^{n_{k+1}(T_{k+1}^l)},$$

since  $r - 1 \leq k - 1$ .

Now the statement of the proposition follows, as any of the generators of  $B_{n,p,k}$  can be written  $b_1^{f(T_k)}$  by (i), and  $n_k(T_k^j)$  commutes with all the endomorphisms  $f(T_k)$ .

Let  $B := B_{n,p,n}$  and  $T := T_n \in \text{Aut}(B_{n,p,n})$  a pair with the properties from the proposition.

Let  $B = \langle b_1 \rangle \times \langle b_2 \rangle \times \cdots \times \langle b_{(p-1)p^{n-1}} \rangle$ , and consider the extension of  $B$  by  $T$  where  $T^{p^{2n-1}} = b_1^{p^n}$ , call it  $H_{n,p}$ .

Then any element of  $H_{n,p}$  can be written  $T^j b_i^l b$  with  $b \in \Omega_n(B)$ . But if  $j \not\equiv 0 \pmod{p^n}$ , then  $(T^j b_i^l b)^{p^n} = T^{jp^n} (b_i^l b)^{n(T^j)} = T^{jp^n}$  by the properties of  $T$ . Therefore for every element  $x \in H_{n,p} \setminus \langle T^{p^n}, B \rangle$  we have  $1 \neq x^{p^n} \in \langle T^{p^n} \rangle$ . If  $j \equiv 0 \pmod{p^n}$ , then  $T^j b_i^l b \in \langle T^{p^n}, B \rangle$  and if  $i \not\equiv 0 \pmod{p}$ ,  $1 \neq (T^j b_i^l b)^{p^n} = b_i^{l p^n} \in \langle T^{p^n} \rangle$ . Therefore by

$$b_1^\alpha := b_1, \quad T^\alpha := T^{1+p^n}; \quad \text{and} \quad b_1^\beta := b_1^{1+p^n}, \quad T^\beta := T$$

two linearly independent power automorphisms of  $H_{n,p}$  are given.  $A(H_{n,p})$  has rank 2 and type  $(n, 1)$ .

We see that for  $n \geq 2$ ,  $A(H_{n,p})$  is not elementary abelian, and if  $p$  is odd, it cannot be embedded into the automorphism group of a cyclic group, since it is not cyclic itself. For  $p = 2$  to be embeddable into  $\text{Aut}(\langle x \rangle)$  by restriction,  $A(H_{n,p})$  would have to induce the inverting automorphism on  $\langle x \rangle$ . But since  $[x, A(H_{n,p})] \subseteq \langle x^{2^n} \rangle$  this yields  $n = 1$ .

The following two examples show that there are  $p$ -groups, the power automorphism group of which has rank 3. The second one is a 3-group of class 3; thus the bound 2 on the rank of  $A(G)$  in (2.1) does not carry over to the case of odd primes.

(2.3) EXAMPLES. (a) Let  $A = \langle a \rangle \times \langle b \rangle \times \langle c \rangle$  be an abelian group of order 16, such that  $a^4 = b^2 = c^2 = 1$ , and define automorphisms  $s, t$  of  $A$  by

$$a^s := ab, \quad b^s := ba^2, \quad c^s := c;$$

$$a^t := ac, \quad b^t := ba^2, \quad c^t := ca^2.$$

Then both are automorphisms of order 4 of  $A$ , and  $t$  inverts  $s$  in  $\text{Aut}(A)$ . We can therefore extend  $A$  successively by  $s$  and  $t$  setting  $a^2 = s^4 = t^4$  and  $s^t = s^{-1}$ . The

extension  $G$  has order  $2^8$  and class 3, and the automorphisms of  $G$  induced by the elements  $t^2, s^2t^2$  and  $bc$  generate an elementary abelian power automorphism group of order eight.

(b) Let  $A = \langle a \rangle \times \langle b \rangle \times \langle c \rangle \times \langle z \rangle$  be an elementary abelian 3-group of order  $3^4$ . Then  $A$  has an elementary abelian group of automorphisms  $\langle y, x, t \rangle$  of order  $3^3$ , where

$$\begin{aligned} a^y &:= a, & b^y &:= bz^2, & c^y &:= cz^2, & z^y &:= z; \\ a^x &:= az^2, & b^x &:= bz^2, & c^x &:= cz, & z^x &:= z; \\ a^t &:= a, & b^t &:= b, & c^t &:= cz^2, & z^t &:= z. \end{aligned}$$

If we extend  $A$  successively by  $y, x$ , and  $t$ , putting  $y^3 = x^3 = t^3 = z$ , and  $[y, x] = a, [y, t] = c, [x, t] = b^2$ , we get an extension  $H$  of order  $3^7$  and class 3, and the power automorphism group  $A(H)$  is elementary abelian of order  $3^3$ , generated by the automorphisms induced by the elements  $a, a^2b$ , and  $abc^2$ .

REMARK. As indicated in the preceding examples, there is a general method to construct  $p$ -groups having non-universal power automorphisms. It is based on extending a group  $H$  of exponent  $p^n, n > 1$ , by a cyclic  $p$ -group  $\langle y \rangle$  such that the “norm map” (when  $H$  is abelian it is an endomorphism of  $H$ )

$$x \rightarrow (x)^{y^{i(p-1)}}(x)^{y^{i(p-2)}} \cdots (x)^{y^i}(x) \text{ of } y^i \text{ on } H$$

maps every element  $x \in H$  onto 1, if  $p$  does not divide  $i$ . This situation is very similar to the one with  $p$ -groups for which the Hughes  $H_p$ -subgroup is a proper subgroup (see [6], theorem 2, p. 1099); in fact, if  $y^p = 1$ , the semidirect product of  $H$  by  $\langle y \rangle$  will have this property. And indeed, for groups of exponent  $p^2$  there is a correspondence between groups possessing quasi-universal power automorphisms and groups of Hughes type, if we use this expression for the not necessarily splitting extension of the group  $H$  of exponent  $p^n, n > 1$ , by the automorphism  $y$  of order  $p$ , such that the “norm maps” on  $H$  are trivial for all the nontrivial powers  $y^i$  of  $y$ .

(2.4) (a) *Every group  $G$  of exponent  $p^2$  which is of Hughes type has a central extension  $P$  possessing a quasi-universal power automorphism.*

(b) *Every group  $G$  of exponent  $p^2$  possessing a quasi-universal power automorphism has a subgroup of Hughes type.*

PROOF. (a) Let  $y \in G$  and  $H \subseteq G$  of index  $p$ , such that  $G = \langle y, H \rangle$  is of Hughes type. Then, if the extension is non-split, we have  $\langle y \rangle \cap H = \langle y^p \rangle \subseteq$

$Z(G)$ , and by  $y^\theta := y^{1+p}$ ,  $h^\theta := h$  for  $h \in H$  an automorphism  $\theta$  of  $G$  is defined ([13], lemma 3, p. 42), which satisfies  $(y^i h)^\theta = y^i h y^{ip} = y^i h (y^i h)^p$  for every element  $y^i h \in G \setminus H$  by the properties of  $y$ . Therefore, since  $H$  is of exponent  $p^2$ ,  $\theta$  is quasi-universal with  $\Sigma_\theta = \{1, 1+p\}$ .

If  $y^p = 1$ , define  $P$  to be the extension of  $H$  by a cyclic group of order  $p^2$ ,  $\langle x \rangle$ , such that  $x$  acts on  $H$  in the same way as  $y$  does, and that the extension splits. Then  $\langle x^p \rangle \subseteq Z(P)$ , and  $P/\langle x^p \rangle \cong G$ , so  $P$  is a central extension of  $G$ . And again the setting  $x^\alpha := x^{1+p}$ ,  $h^\alpha := h$  for  $h \in \langle x^p H \rangle$  defines a quasi-universal power automorphism on  $P$ .

(b) Let  $G$  be a group of exponent  $p^2$ , and let  $\alpha \in A(G)$  be quasi-universal. Then for some nontrivial power  $\beta$  of  $\alpha$  we can choose  $\Sigma_\beta = \{1, 1+p\}$ . Let  $y \in G \setminus C_G(\beta)$ , and put  $U := \langle y, C_G(\beta) \rangle$ . Then for every element  $y^i h \in U \setminus C_G(\beta)$  we have  $(y^i h)^p = [y^i h, \beta] = [y^i, \beta] = y^{ip}$ , since  $\beta$  is quasi-universal, so  $(h)^{y^{i(p-1)}}(h)^{y^{i(p-2)}} \cdots (h)^{y^i}(h) = 1$  and since  $y^p \in \Omega_1(Z(G)) \subseteq C_G(\beta)$ , we have that  $y^i h \in U \setminus C_G(\beta)$  is equivalent to  $i \not\equiv 0 \pmod p$ , and so  $U$  is of Hughes type, as  $\exp(C_G(\beta)) = p^2$  (otherwise  $\beta$  would be universal).

### 3. Metabelian groups of exponent $p^2$

In this third section we shall consider the question, whether the existence of a nontrivial power automorphism imposes restrictions on the nilpotence class of the  $p$ -group  $G$ . The procedure is motivated by (1.4), which stated: If  $G$  is a group of exponent  $p$ , which has a nontrivial power automorphism, then  $G$  is abelian.

In the following, we shall answer the question for metabelian groups of exponent  $p^2$ . Thereby we make use of a result of Gupta and Newman ([3], theorem, p. 362), but only in the following specialisation:

(3.1) THEOREM. *Let  $G$  be a metabelian  $p$ -group, and let  $i, j, k$  be positive integers strictly smaller than  $p$ . Then  $c(G) \leq i + j + k - 1$ , provided*

$$v_k(z, v_{i-1}(y, x)) = [x, \underbrace{y, \dots, y}_j, \underbrace{x, \dots, x}_{i-1}, \underbrace{z, \dots, z}_k] = 1 \quad \text{for every } x, y, z \in G.$$

PROOF. Since by hypothesis the above word holds in  $G$ , [3] tells that the exponent of  $K_{i+j+k}(G)/K_{i+j+k+1}(G)$  divides  $(i!)(j!)(k!)$ . But since  $i, j$  and  $k$  are strictly smaller than  $p$ , none of the factorials  $i!$ ,  $j!$ , or  $k!$  is divisible by  $p$ , so the  $p$ -group  $K_{i+j+k}(G)/K_{i+j+k+1}(G)$  is trivial, and since  $G$  is a finite nilpotent group, we get  $K_{i+j+k}(G) = K_{i+j+k+1}(G) = 1$ .

For later reference we also need the following lemma, which is presumably well-known.



(3.2) LEMMA. *Let  $G = \langle x, y \rangle$  be a metabelian  $p$ -group, and let  $\mathcal{U}_1(G) \subseteq Z(G)$ . If  $G$  is  $p$ -abelian, then  $c(G) \leq p - 1$ .*

PROOF. Since  $G$  is  $p$ -abelian, and since  $\mathcal{U}_1(G) \subseteq Z(G)$ , the map  $z \rightarrow z^p$  is a homomorphism from  $G$  into  $Z(G)$ , so  $\exp(G') \leq p$ . Since by ([9], Satz 3, p. 10),  $c(G/Z(G)) \leq p - 1$ , we have  $c(G) \leq p$ , and so ([10], Hilfssatz 3, p. 563) gives  $\prod_{i=1}^{p-1} s_i(v, w)^{-1} = 1$  for every  $v, w \in G$ , as  $G$  is  $p$ -abelian. But now ([10], Hilfssatz 2, p. 562) which is a special case of ([1], lemma 1, p. 65) tells that  $s_i(x, y) = 1$  for  $1 \leq i \leq p - 1$ , and by ([10], Hilfssatz 1, p. 562) we get the result.

(3.3) LEMMA. *Let  $G$  be a metabelian group of exponent  $p^2$ , and let  $1 \neq \alpha \in A(G)$  be universal. Then*

- (i) every two-generated subgroup of  $G$  has class at most  $p - 1$ ,
- (ii)  $G$  is a regular  $p$ -group,
- (iii)  $c(G) \leq p$ .

PROOF. For some nontrivial power  $\beta$  of  $\alpha$  we may assume  $\Sigma_\beta = \{1 + p\}$ , and so by (1.3a)  $G$  is  $p$ -abelian and  $\mathcal{U}_1(G) \subseteq Z(G)$ . Thus by (3.2) every two-generated subgroup of  $G$  is of class at most  $p - 1$ , and hence regular. Regularity, however, is a property that is checked on two elements, and so  $G$  is a regular  $p$ -group (see also [12], lemma 1, p. 736). Finally, since  $v_{p-1}(y, x) \in K_p(\langle x, y \rangle) = 1$  for every two elements  $x, y \in G$ , we also have  $v_1(z, v_{p-1}(y, x)) = [v_{p-1}(y, x), z] = 1$  for every  $x, y, z \in G$ . Now (3.1) tells  $c(G) \leq p$ .

If the power automorphism  $\alpha$  of  $G$  is not universal, we have to consider subgroups  $\langle x, y \rangle$  of  $G$ , where  $y$  is fixed by  $\alpha$ , while  $x$  is not. The easiest case is the following one.

(3.4) LEMMA. *Let  $G$  be a metabelian group of exponent  $p^2$ , and let  $1 \neq \alpha \in A(G)$ . Let  $x \in G \setminus C_G(\alpha)$ , and  $v \in G'$  of order  $p$ . Then  $c(\langle x, v \rangle) \leq p - 1$ .*

PROOF. Let  $U = \langle x, v \rangle$ , then since  $G$  is metabelian and  $v^p = 1$ , we have  $\exp(U') \leq p$ , and  $K_i(U) = \langle v_{i-1}(x, v), K_{i+1}(U) \rangle$ . Thus  $[xv, \alpha] = [x, \alpha] \in \langle x^p \rangle \subseteq Z(G)$  gives

$$1 = [v, x^p] = \prod_{i=1}^p v_i(x, v)^p = v_p(x, v),$$

so  $c(U) \leq p$ , and we can use ([10], Hilfssatz 3) to get

$$(x^j v)^p = x^{jp} s_{p-1}(x^j, v)^{-1} = x^{jp} s_{p-1}(x, v)^{-j} = x^{jp} \cdot s_{p-1}(x, v) \quad \text{for every integer } 1 \leq j \leq p - 1.$$

Therefore  $\langle [xv, \alpha] \rangle = \langle (xv)^p \rangle$  gives  $s_{p-1}(x, v) \in \langle x^p \rangle$ , and since for  $1 \leq j \leq p-1$  we have  $x^j v \in G \setminus C_G(\alpha)$  and so  $(x^j v)^p \neq 1$ , we conclude  $s_{p-1}(x, v) = 1$ .

Now we treat the general case. Again, we make use of the fact that for  $x, y \in G$ , where  $G$  is a metabelian group of exponent  $p^2$ ,  $1 \neq \alpha \in A(G)$  and  $x \in G \setminus C_G(\alpha)$ ,  $y \in C_G(\alpha)$ , we have

$$(*) \quad \langle (x^i y)^p \rangle = \langle [x^i y, \alpha] \rangle = \langle [x^i, \alpha] \rangle = \langle x^p \rangle \subseteq Z(G) \quad \text{for } 1 \leq i \leq p-1.$$

(3.5) LEMMA. *Let  $G$  be a metabelian group of exponent  $p^2$  and  $1 \neq \alpha \in A(G)$ . Let  $U := \langle x, y \rangle \subseteq G$ , where  $x \in G \setminus C_G(\alpha)$  and  $y \in C_G(\alpha)$ . Then*

- (i)  $\mathfrak{U}_1(K_m(U)) \subseteq K_{m+p-1}(U)\langle x^p \rangle$  for every  $m \geq 2$ ,
- (ii)  $s_i(x, y) \in K_{p+i}(U)\langle x^p \rangle$  for  $1 \leq i \leq p-2$ ,  $y^p s_{p-1}(x, y) \in K_{p+1}(U)\langle x^p \rangle$ ,
- (iii)  $c(U) \leq 2(p-1)$ .

PROOF. Since  $U$  is a nilpotent group,  $\mathfrak{U}_1(K_m(U)) \subseteq K_{m+p-1}(U)\langle x^p \rangle$  for sufficiently large  $m$ . So let  $k$  be the minimal integer for which this relation holds, and assume by way of contradiction that  $k > 2$ . Let  $v \in K_{k-1}(U)$  be an element of order  $p^2$ , then we can easily show by induction that  $K_j(\langle x, v \rangle) \subseteq K_{j+(k-1)-1}(U)$  for  $j \geq 2$ , so  $K_p(\langle x, v \rangle) \subseteq K_{(k-1)+p-1}(U)$ . By hypothesis we have  $\mathfrak{U}_1(\langle x, v \rangle) \subseteq \mathfrak{U}_1(K_k(U)) \subseteq K_{k+p-1}(U)\langle x^p \rangle$ , so the Hall-Petrescu Formula tells  $x^p v^p \equiv (xv)^p \pmod{K_{k-1+p-1}(U)\langle x^p \rangle}$  and by  $(*)$ , since by hypothesis  $v \in K_2(U) \subseteq C_G(\alpha)$ , we get  $v^p \in K_{k-1+p-1}(U)\langle x^p \rangle$ , contradicting the minimality of  $k$ . By (i) and  $(*)$  we get from ([10], Hilfssatz 3)

$$y^p \prod_{i=1}^{p-1} s_i(x^i, y)^{(-1)^i} \equiv 1 \pmod{K_{p+1}(U)\langle x^p \rangle} \quad \text{for } 1 \leq j \leq p-1.$$

But instead of using ([10], Hilfssatz 2) like in (3.2) we must go back to Brisley's theorem now.

Put  $\tilde{s}_i(x^i, y) := s_i(x^i, y)^{(-1)^i}$  for  $1 \leq i \leq p-2$ , and  $\tilde{s}_{p-1}(x^i, y) := y^p s_{p-1}(x^i, y)$ . Then we have for  $1 \leq j \leq p-1$

$$\prod_{i=1}^{p-1} \tilde{s}_i(x^i, y) \equiv 1 \pmod{K_{p+1}(U)\langle x^p \rangle}.$$

Since the elements  $s_i(x^i, y)$  are central mod  $K_{p+1}(U)\langle x^p \rangle$ , the elements  $\tilde{s}_i(x^i, y)$  do commute mod  $K_{p+1}(U)\langle x^p \rangle$  and we have

$$\tilde{s}_i(x^j, y) \equiv \tilde{s}_i(x, y)^j \pmod{K_{p+1}(U)\langle x^p \rangle}, \quad 1 \leq i, j \leq p-1.$$

Therefore we can apply ([1], lemma 1, p. 65) to get (ii).  $K_p(U)$  is spanned mod  $K_{p+1}(U)$  by the elements  $s_i(x, y)$ , since  $U$  is metabelian, and so  $K_p(U) \subseteq \langle y^p, x^p, K_{p+1}(U) \rangle$ . Thus

$$[K_p(U), y] \subseteq [\langle y^p, x^p, K_{p+1}(U) \rangle, y] \subseteq K_{p+2}(U),$$

and since  $U$  is metabelian, we get  $y \in C_U(K_i(U)/K_{i+2}(U))$  for every  $i \geq p$ . So  $K_i(U) = \langle v_{i-p}(x, y^p), K_{i+1}(U) \rangle$  for  $i > p$ . Since  $y^p \in \langle x^p, U' \rangle$  and is an element of order at most  $p$ , the elements  $x$  and  $y^p$  lie in a subgroup of  $G$  that is generated by  $x$  and an element of order  $p$  in  $G'$ , and so (3.4) yields  $v_{p-1}(x, y^p) = 1$ . Therefore  $K_{2p-1}(U) = K_{2p}(U) = 1$ , and (iii) holds.

For the proof of the main result in this section we need one more definition. If  $a \in G$ , we denote by  $M_a$  the set  $\{v_{p-1}(a, w) \mid w \in G'\}$ .

(3.6) LEMMA. *Let  $G$  be a metabelian group of exponent  $p^2$ ,  $1 \neq \alpha \in A(G)$  and  $a \in G \setminus C_G(\alpha)$ . Then*

- (i)  $M_a$  is an elementary abelian normal subgroup of  $G$ ,
- (ii) if  $y \in C_G(\alpha)$ , and  $U := \langle y, a \rangle$ , then  $K_{p+1}(U) \subseteq M_a$ .

PROOF. The set  $M_a$  is a subgroup of  $G$ ; for, since  $G$  is metabelian,  $v_{p-1}(a, w_1)v_{p-1}(a, w_2) = v_{p-1}(a, w_1w_2)$  if  $w_1, w_2 \in G'$ . Of course,  $M_a \subseteq G'$  is abelian, and since

$$(v_{p-1}(a, w))^g = v_{p-1}(a, w^g) \quad \text{for } w \in G', \quad g \in G,$$

$M_a$  is a normal subgroup of  $G$ .

Let  $v_{p-1}(a, w) \in M_a$ . Then, since by (3.5) the class of  $\langle a, w \rangle$  is at most  $2p - 2$ , we know that  $c(\langle a, v_{p-1}(a, w) \rangle) \leq p - 1$ , and  $\langle a, v_{p-1}(a, w) \rangle$  is a regular subgroup of  $G$ . Therefore the (nontrivial!) restriction of  $\alpha$  on  $\langle a, v_{p-1}(a, w) \rangle$  is universal, and  $v_{p-1}(a, w)^p = 1$ .

To prove (ii), let  $y \in C_G(\alpha)$ , and put  $U := \langle a, y \rangle$ . Then by (3.5ii),  $K_p(U)\langle a^p \rangle = \langle s_{p-1}(a, y), a^p, K_{p+1}(U) \rangle$ , and  $y \in C_U(K_i(U)/K_{i+2}(U))$  for  $i \geq p$ , and so for  $i > p$   $K_i(U) = \langle v_{i-1}(a, y), K_{i+1}(U) \rangle$ , and  $K_{p+1}(U) = \langle v_{i-1}(a, y) \mid i > p \rangle = \langle v_{p-1}(a, v_{i-p}(a, y)) \mid i > p \rangle$ , which is a subgroup of  $M_a$ .

(3.7) LEMMA. *Let  $G$  be a metabelian group of exponent  $p^2$ ,  $1 \neq \alpha \in A(G)$  and  $s, t \in G$ . Then there is an element  $a \in G \setminus C_G(\alpha)$  such that  $v_{p-1,1}(s, t) \in M_a$ .*

PROOF. If  $s \in C_G(\alpha)$  and  $t \in G \setminus C_G(\alpha)$ , then  $v_{p-1,1}(s, t)$  is in  $M_t$  by (3.6ii); and if  $t \in C_G(\alpha)$  and  $s \in G \setminus C_G(\alpha)$  then  $v_{p-1,1}(s, t) \in M_s$  by (3.6ii).

If  $s, t \in G \setminus C_G(\alpha)$ , then consider the group  $G/[G, \alpha]$ . If  $\exp(G/[G, \alpha]) = p$ , then  $\langle s, t, [G, \alpha] \rangle/[G, \alpha]$  is a two-generated metabelian group of exponent  $p$ , and has therefore at most nilpotence class  $p - 1$  by ([9], Satz 3, p. 10). But since  $[G, \alpha] \subseteq Z(G)$ , we get  $v_{p-1}(s, t) \in Z(G)$  and  $v_{p-1,1}(s, t) = 1$ . If  $\exp(G/[G, \alpha]) = p^2$ , then the Hughes subgroup  $H_p(G/[G, \alpha])$  of  $G/[G, \alpha]$  is nontrivial and can by ([4], theorem, p. 451) only be of index 1 or  $p$  in  $G/[G, \alpha]$ . We prove that

for any nontrivial subgroup  $X$  of  $A(G)$  the Hughes

(\*\*) subgroup  $H_p(G/[G, X])$  of  $G/[G, X]$  is covered by  $C_G(X)$ .

$H_p(G/[G, X])$  is generated by the cosets  $b[G, X]$  of order  $p^2$  in  $G/[G, X]$ , that is, cosets  $b[G, X]$  for which  $b^p \notin [G, X]$ . But if there was an element  $\beta$  of  $X$  not centralising  $b$ , we would have  $\langle b^p \rangle = \langle [b, \beta] \rangle \subseteq [b, X] \subseteq [G, X]$ , so  $b \in C_G(X)$ .

Since  $[G, \alpha] \subseteq C_G(\alpha)$ , and since  $\alpha \neq 1$ , we must have  $H_p(G/[G, \alpha]) = C_G(\alpha)/[G, \alpha]$  and  $|G/C_G(\alpha)| = p$ . Thus  $t = sc$  for an element  $c \in C_G(\alpha)$  and  $v_{p-1,1}(s, t) = v_{p-1,1}(s, sc) \in K_{p+1}(\langle s, c \rangle) \subseteq M_s$ .

Let finally  $s, t \in C_G(\alpha)$ . Then put  $U := \langle s, t \rangle \subseteq G$ , choose an arbitrary element  $a \in G \setminus C_G(\alpha)$  and put  $V := UM_a \langle a^p \rangle$ ,  $C := C_V(V/M_a)$ . By (3.5ii) we have  $u^p v_{p-1}(a, u) \in M_a \langle a^p \rangle$  for every  $u \in U$ , and since  $[v_{p-1}(a, s), t] \equiv [v_{p-1}(a, t), s] \pmod{M_a}$ , we have

$$[v_{p-1}(a, s), t, s] = [v_{p-1}(a, s), s, t] \in M_a,$$

$$[v_{p-1}(a, s), t, t] \equiv [v_{p-1}(a, t), s, t] \equiv [v_{p-1,1}(a, t), s] \equiv 1 \pmod{M_a},$$

and so  $[v_{p-1}(a, s), t], [v_{p-1}(a, t), s] \in C$ . Therefore for any  $u_1, u_2 \in U$  we have  $[v_{p-1}(a, u_1), u_2] \in C$ , which is easily shown by induction over the length of  $u_1$  as a product in  $s$  and  $t$ . But then also  $[u_1^p, u_2] \in C$ , and therefore  $\mathcal{U}_1(U/U \cap C) \subseteq Z(U/U \cap C)$ .  $U/U \cap C$  is also  $p$ -abelian, for let  $u_1, u_2 \in U$ , then

$$\begin{aligned} (u_1 u_2)^p v_{p-1}(a, u_1 u_2) &= (u_1 u_2)^p v_{p-1}(a, u_1) [v_{p-1}(a, u_1), u_2] v_{p-1}(a, u_2) \\ &= (u_1 u_2)^p (u_1)^{-p} (u_2)^{-p} [v_{p-1}(a, u_1), u_2] \equiv 1 \pmod{M_a \langle a^p \rangle}, \end{aligned}$$

and hence  $(u_1 u_2)^p \equiv u_1^p u_2^p \pmod{C}$ .

Now (3.2) yields  $c(U/U \cap C) \leq p - 1$ , and therefore  $v_{p-1}(s, t) \in C$ . Thus  $v_{p-1,1}(s, t) \in M_a$ , as required.

(3.8) THEOREM. *Let  $G$  be a metabelian group of exponent  $p^2$ , and let  $1 \neq \alpha \in A(G)$ . Then  $c(G) \leq 2(p - 1) + 1$ .*

PROOF. Let first  $p$  be an odd prime. Then (3.1) will prove the statement, provided we can show that for arbitrary elements  $x, y, z \in G$  the element  $v_{p-1}(z, v_{p-1,1}(y, x))$  is equal to 1. In (3.7) we showed that  $v_{p-1,1}(y, x) = v_{p-1}(a, w)$  for some  $w \in G'$ ,  $a \in G \setminus C_G(\alpha)$ , and  $v_{p-1}(a, w)$  is an element of  $G'$  of order 1 or  $p$  by (3.6i) for every  $x, y \in G$ . Therefore we are through, if  $z \in G \setminus C_G(\alpha)$ , since we can apply (3.4). If  $z \in C_G(\alpha)$ , put  $U := \langle w, z \rangle$ . Then  $U/\mathcal{U}_1(U)$  is a metabelian group of exponent  $p$  that is generated by two elements, and hence by ([9], Satz 3, p. 10)  $K_p(U) \subseteq \mathcal{U}_1(U)$ . But  $\mathcal{U}_1(G) \subseteq \mathcal{U}_1(Z(G)G')$  by (3.5ii), and so  $v_{p-1}(z, w)$  is

an element of  $G'$  of order 1 or  $p$ ; therefore  $v_{p-1}(z, v_{p-1}(a, w)) = v_{p-1}(a, v_{p-1}(z, w)) = 1$  by (3.4), completing the proof.

For  $p = 2$ , we can even show  $c(G) \leq 2$ , and don't even need the hypothesis that  $G$  is metabelian. Let  $y \in C_G(\alpha)$ ,  $x \in G \setminus C_G(\alpha)$  be arbitrary elements, then  $xy \in G \setminus C_G(\alpha)$ , and therefore  $o(xy) = 4$ , and  $(xy)^\alpha = (xy)^3$ . Thus  $[y, x] = y^2$ , since  $x^2 = [x, \alpha] = [xy, \alpha] = (xy)^2 = x^2y[y, x]y$ . Hence  $x$  induces the inverting automorphism on  $C_G(\alpha)$ , and  $C_G(\alpha)$  is abelian. Furthermore the order of  $[y, x]$  is 1 or 2.

If  $\exp(G/[G, \alpha]) = 2$ , then  $G' \subseteq [G, \alpha] \subseteq Z(G)$  and  $c(G) \leq 2$ . If  $\exp(G/[G, \alpha]) = 4$ , then the Hughes  $H_2$ -subgroup of  $G/[G, \alpha]$  is nontrivial, and hence has index at most 2 in  $G/[G, \alpha]$  by ([5], lemma 4, p. 664). Again  $H_2(G/[G, \alpha])$  is covered by  $C_G(\alpha)$ , see (\*\*), and so  $C_G(\alpha)$  has index 2 in  $G$ . Therefore let  $x \in G \setminus C_G(\alpha)$ , then any nontrivial commutator in  $G$  has the form  $[y, x]$  for some  $y \in C_G(\alpha)$ , and lies in  $\Omega_1(C_G(\alpha))$ . Since  $x$  inverts the whole of  $C_G(\alpha)$ , it centralises the elementary abelian group  $G' \subseteq C_G(\alpha)$ , and therefore  $G' \subseteq Z(G)$ , and  $c(G) \leq 2$ .

If the group  $G$  is generated by two elements, then the bound on the nilpotence class is a little better.

(3.9) THEOREM. *Let  $G$  be a two-generated metabelian group of exponent  $p^2$  and  $1 \neq \alpha \in A(G)$ . Then  $c(G) \leq 2(p - 1)$ .*

PROOF. Since  $G$  is two-generated, and  $\phi(G) \subseteq C_G(\alpha)$  by (1.3b) and (1.4), two cases must be considered.

(i)  $C_G(\alpha)$  has index  $p$  in  $G$ . Then we can apply (3.5iii) immediately.

(ii)  $\phi(G) = C_G(\alpha)$ . Then, since the Hughes  $H_p$ -subgroup of  $G/[G, \alpha]$  is covered by  $C_G(\alpha)$ , see (\*\*), it has to be trivial by ([4], theorem, p. 451). Therefore  $G/[G, \alpha]$  is a two-generated metabelian group of exponent  $p$ , and hence  $K_p(G) \subseteq [G, \alpha] \subseteq Z(G)$ , and  $c(G) \leq p \leq 2(p - 1)$ .

The following case is noted separately.

(3.10) LEMMA. *Let  $G$  be a metabelian group of exponent  $p^2$ ,  $1 \neq \alpha \in A(G)$ . If  $\alpha$  is of type 2, but not quasi-universal, then  $c(G) = p$ .*

PROOF. Since 1 does not occur in  $\Sigma_\alpha$ , we must have  $\exp(C_G(\alpha)) = p$ , as  $\exp(G) = p^2$ . Therefore  $\exp(G/[G, \alpha]) = p$ , by (\*\*), and for  $x, y \in G$  we get  $c(\langle x, y[G, \alpha] \rangle/[G, \alpha]) \leq p - 1$  by ([9], Satz 3, p. 10). Thus  $v_{p-1}(x, y) \in [G, \alpha] \subseteq Z(G)$  and

$$[v_{p-1}(x, y), z] = v_1(z, v_{p-1}(x, y)) = 1 \quad \text{for every } x, y, z \in G.$$

Now (3.1) yields  $c(G) \leq p$ , and since  $\alpha$  is not universal, we get  $c(G) = p$  by (1.5).

(3.11) THEOREM. *Let  $G$  be a metabelian group of exponent  $p^2$ , and let  $|A(G)| \geq p^2$ . Then  $c(G) = p$ .*

PROOF. Since the automorphism group of a cyclic subgroup of  $G$  is cyclic,  $A(G)$  cannot be embeddable into  $\text{Aut}(\langle x \rangle)$  for any  $x \in G$ . Therefore  $G$  can not be a regular  $p$ -group by (1.5), and hence  $c(G) \geq p$ . Also,  $C_G(A(G))$  is of index greater than  $p$  in  $G$ , because otherwise  $G$  would be generated by  $C_G(A(G))$  and one further element  $x \in G$ , whence  $A(G)$  would be embeddable into  $\text{Aut}(\langle x \rangle)$  by restriction. But the Hughes  $H_p$ -subgroup  $H_p(G/[G, A(G)])$  is covered by  $C_G(A(G))$ , see (\*\*), and so by ([4], theorem) the group  $G/[G, A(G)]$  must be of exponent  $p$ . Thus again  $v_{p-1}(x, y)$  lies in  $[G, A(G)] \subseteq Z(G)$  for any  $x, y \in G$ , and (3.1) gives  $c(G) \leq p$ .

(3.12) LEMMA. *Let  $G$  be a metabelian group of exponent  $p^2$ ,  $|A(G)| \geq p^2$ , and let  $\alpha \in A(G)$  be of type 2. Then  $\alpha$  is quasi-universal, there are  $p - 1$  quasi-universal power automorphisms in  $A(G)$ , and the rest of the nontrivial power automorphisms in  $A(G)$  has type  $p$ .*

PROOF. Again  $A(G)$  is elementary abelian and can not be embedded into  $\text{Aut}(\langle x \rangle)$  for any  $x \in G$ . Let  $x \in G \setminus C_G(\alpha)$ , and let  $\beta \in A(G) \setminus \langle \alpha \rangle$ . Then for some integer  $j$ , the element  $x$  is centralised by  $\alpha^j \beta$ . Let  $y \in G \setminus C_G(\alpha^j \beta)$ , then  $A := \langle \alpha, \alpha^j \beta \rangle$  induces a group of power automorphisms on  $U := \langle x, y \rangle$  that is of rank two. By (\*)  $\langle (xy)^p \rangle = \langle y^p \rangle$ , and since  $[xy, \alpha] = x^i y^m \in \langle (xy)^p \rangle = \langle y^p \rangle$  for some integers  $i, m$ , where  $i \not\equiv 0 \pmod p$ , we get  $\langle x^p \rangle = \langle y^p \rangle$ . Therefore by (1.3)  $\alpha$  induces a homomorphism from  $U$  into  $\langle x^p \rangle$ , the kernel of which is  $C_U(\alpha)$ . Thus  $C_U(\alpha)$  has index  $p$  in  $U$ , contains  $\phi(U)$  properly and so  $\alpha$  centralises some element  $x^k y^l \in U \setminus \phi(U)$ . But  $l \not\equiv 0 \pmod p$ , since  $x \in U \setminus C_U(\alpha)$ , and therefore  $x^k y^l \in U \setminus C_U(\alpha^j \beta)$  has order  $p^2$ . So  $\alpha$  centralises some element of order  $p^2 = \exp(G)$  and  $1 \in \Sigma_\alpha$ . Since  $\alpha$  has type 2, it must be quasi-universal, and all the nontrivial powers of  $\alpha$  are quasi-universal, too.

Let  $z \in \langle x^k y^l \rangle$  such that  $z^p = x^p$ . Since  $x^\alpha = x^{1+i p}$ ,  $i \not\equiv 0 \pmod p$ ,  $z^\alpha = z$  and  $\alpha$  is quasi-universal, we get, for  $1 \leq r \leq p$ ,  $(xz^r)^{1+i p} = (xz^r)^\alpha = (xz^r)x^p$ , and  $(xz^r)^p = x^p$ . Because  $A$  induces a noncyclic group of power automorphisms on  $U$ , the element  $z \in U$  is not fixed by  $\beta$ , so if  $z^\beta = z^{1+s p}$ ,  $x^\beta = x^{1+i p}$ , then  $s \not\equiv 0 \pmod p$ . For  $1 \leq r \leq p$ ,

$$(xz^r)^\beta = x^{1+i p} z^{r(1+s p)} = (xz^r)x^{p(t+r s)} = (xz^r)^{1+(t+r s)p},$$

and since  $(t + rs)$  takes  $p$  different values mod  $p$ , if  $r$  does, the type of  $\beta$  is at least  $p$ . But the type of  $\beta$  can not be greater than  $p$  by (1.4), since  $\exp(G) = p^2$ .

REMARK. For groups of exponent 4, a power automorphism of type 2 is always quasi-universal. For odd  $p$  however, there are metabelian groups of exponent  $p^2$  that have a power automorphism of type 2 which is not quasi-universal, as the following example will show. The smallest 2-group having a power automorphism of type 2 that is not quasi-universal is the generalised quaternion group of order 16 described in (2.2).

(3.13) EXAMPLE. Let  $p$  be an odd prime, and let  $A = \langle a_1 \rangle \times \langle a_2 \rangle \times \cdots \times \langle a_{p-1} \rangle$  be an abelian group with  $o(a_1) = p^2$ , and  $o(a_i) = p$  for  $2 \leq i \leq p-1$ . Then the endomorphism  $T$  of  $A$  given by  $a_i^T := a_i a_{i+1}$  for  $1 \leq i \leq p-2$ , and  $a_{p-1}^T := a_{p-1} a_1^p$ , defines an automorphism of  $A$  of order  $p$  for which the "norm endomorphism"  $1 + T + T^2 + \cdots + T^{p-1}$  maps every element of  $A$  onto its  $(2p)$ th power (see [8], III.10.15, Beweis, p. 334). We consider the extension  $G$  of  $A$  by  $T$ , where  $T^p = a_1^{-2p}$ .

Since the endomorphisms  $1 + T^j + T^{j^2} + \cdots + T^{j(p-1)}$  coincide on  $A$  for all the nontrivial powers  $T^j$  of  $T$ , we get for an arbitrary element  $T^j a_1^i w \in G$ ,  $w \in G'$ :

$$(T^j a_1^i w)^p = T^{jp} (a_1^i w)^{1+T^j+T^{j^2}+\dots+T^{j(p-1)}} = a_1^{p(2i-2j)} \quad \text{if } 1 \leq j \leq p-1,$$

and  $(a_1^i w)^p = a_1^{pi}$  for  $T^j \in G'$ . Put  $H := \langle Ta_1, G' \rangle$ , then  $H$  is a maximal subgroup of  $G$ , and by ([13], lemma 3, p. 42)  $G$  has an automorphism  $\theta$ , defined by  $T^\theta := T^{1+p}$ ,  $h^\theta := h$  for  $h \in H$ .  $\theta$  is a power automorphism of  $G$ , and since  $H = C_G(\theta)$  is of exponent  $p$  ( $H$  is a regular  $p$ -group generated by elements of order  $p$ ), 1 does not occur in  $\Sigma_\theta$ . Now

$$(T^j a_1^i w)^\theta = (T^{j-i} T^i a_1^i w)^\theta = T^{(j-i)p} T^{j-i} T^i a_1^i w = (T^j a_1^i w) a_1^{p(2i-2j)},$$

and so for  $z \in A$  we have  $z^\theta = z^{1+2p}$  and for  $z \in G \setminus A$  we have  $z^\theta = z^{1+p}$ .

REMARK. The bounds given in (3.8) and (3.9) should be compared with the nilpotency class of the free  $n$ -generated metabelian group of exponent  $p^2$ . This class was calculated by several authors according to  $n = 2$ ,  $3 \leq n \leq p + 1$ , and  $n \geq p + 2$  (see review of N. D. Gupta, *The free metabelian group of exponent  $p^2$* , MR 39 6984) and is  $2p(p - 1)$  for  $n = 2$ , and  $n(p - 1) + (p - 1)^2$  for large  $n$ . So the existence of a nontrivial power automorphism is fairly restrictive for metabelian groups of exponent  $p^2$ .

ADDITIONAL REMARK. It should be pointed out that the family of groups in (2.2) has already been constructed in section 6 of [A1], and that [A2] assures the existence of more examples like those in (2.3).

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